

08/23/00



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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

*Title of Invention***AUCTION MANAGEMENT SYSTEM***Named Inventor(s)*

Gerald H. ABLAN

*Attorney Docket***4A02.1-010***Express Mail Label No.***EL 467009890US**JCS58 U.S. PTO
08/23/00

APPLICATION ELEMENTS		Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, D.C. 20231
ACCOMPANYING APPLICATION PARTS		
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Specification, Claims, and Abstract Total Pages: 34</p> <p>3. <input checked="" type="checkbox"/> Drawings Total Sheets 17</p> <p>4. Oath or Declaration Total Pages: 1</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 17 completed)</i> [Note Box 5 Below]</p> <p>(i) <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input type="checkbox"/> Incorporation by Reference <i>(usable if Box 4b is checked)</i> The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy <i>(identical to computer copy)</i></p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p>	<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i></p> <p><input type="checkbox"/> Power of Attorney by assignee</p> <p>10. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-1449</p> <p><input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>14. <input checked="" type="checkbox"/> Small Entity Statement(s)</p> <p><input type="checkbox"/> Statement filed in prior application Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>16. <input type="checkbox"/> Other: _____ _____ _____</p>	
<p>17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No: _____</p> <p>18. CORRESPONDENCE ADDRESS:</p> <p>Michael J. Mehrman, Esq. GARDNER & GROFF, P.C. Paper Mill Village, Building 23 600 Village Trace, Suite 300 Marietta, Georgia 30067</p> <p>By: Michael J. Mehrman, Reg. No. 40,086 Date: <i>[Signature]</i> Telephone: 770 984 2300 Facsimile: 770 984 0098</p> <p style="text-align: right;"><i>8/23/00</i></p>		

FEES TRANSMITTAL

Attorney Docket No. 4A02.1-010

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Gerald H. ABLAN**

Filing Date:

Title: **AUCTION MANAGEMENT SYSTEM**

The filing fee is calculated as shown below:

1. FILING FEE:

FOR:	SMALL ENTITY FEE	LARGE ENTITY FEE	SMALL ENTITY FEE PAID	LARGE ENTITY FEE PAID
X UTILITY FILING FEE	\$345	\$690	\$345	
<input type="checkbox"/> DESIGN FILING FEE	\$155	\$310		
<input type="checkbox"/> PLANT FILING FEE	\$240	\$480		
<input type="checkbox"/> REISSUE FILING FEE	\$345	\$690		
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75	\$150		
	SUBTOTAL (1)		\$345	\$xxx

2. CLAIMS:

FOR:	NO. FILED	NO. EXTRA	RATE	SMALL ENTITY FEE	LARGE ENTITY FEE
TOTAL CLAIMS	26 - 20 =	6	x 9 =	54	x 18 =
INDEP. CLAIMS	3 - 3 =	0	x 39 =	0	x 78 =
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+130 =		+260 =
			SUBTOTAL (2)	\$54	\$xxx

3. ADDITIONAL FEES:

FOR:	SMALL ENTITY FEE	LARGE ENTITY FEE	SMALL ENTITY FEE PAID	LARGE ENTITY FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65	\$130		
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130	\$130		
X OTHER (Fee For Recording Assignment)		40		
	SUBTOTAL (3)		\$40	\$xxx

TOTAL FILING FEES: \$439A check is enclosed for the total amount: **\$439** Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 10-1215.

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By: Michael J. Mehrman, Attorney for Applicant
Reg. No. 40,086Date: 8/23/00JC868 U.S. PTO
09/644411
08/23/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application or Patent of: **Gerald H. ABLAN**)
Application or Patent No.: **To Be Assigned**) Attorney Docket No. **4A02.1-010**
Filed or Issued: **Concurrently Herewith**)
For: **Auction Management System**)

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9 (f) AND 1.27 (c)) - SMALL BUSINESS CONCERN

I hereby declare that I am:

- () the owner of the small business concern identified below:
(X) an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: **AUCTIONWORKS.COM, INC.**

ADDRESS OF CONCERN: **1776 PEACHTREE STREET,
SUITE 600 NORTH
Atlanta, Georgia 30309**

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41 (a) and (b) of Title 35 United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal years, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above, with regard to the invention titled "**AUCTION MANAGEMENT SYSTEM**" described in

- () the specification filed herewith
() Application No. _____, filed _____
() patent No. _____, issued _____

The rights held by the above identified small business concern are exclusive.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING: **Gerald H. ABLAN**

TITLE OF PERSON SIGNING: **Chief Technology Officer**

DATE 8/22, 20

SIGNATURE

